



# Theories about Dopamine

Reinforcement learning signals  
in biological organisms

(Talk by Michael Pfeiffer)

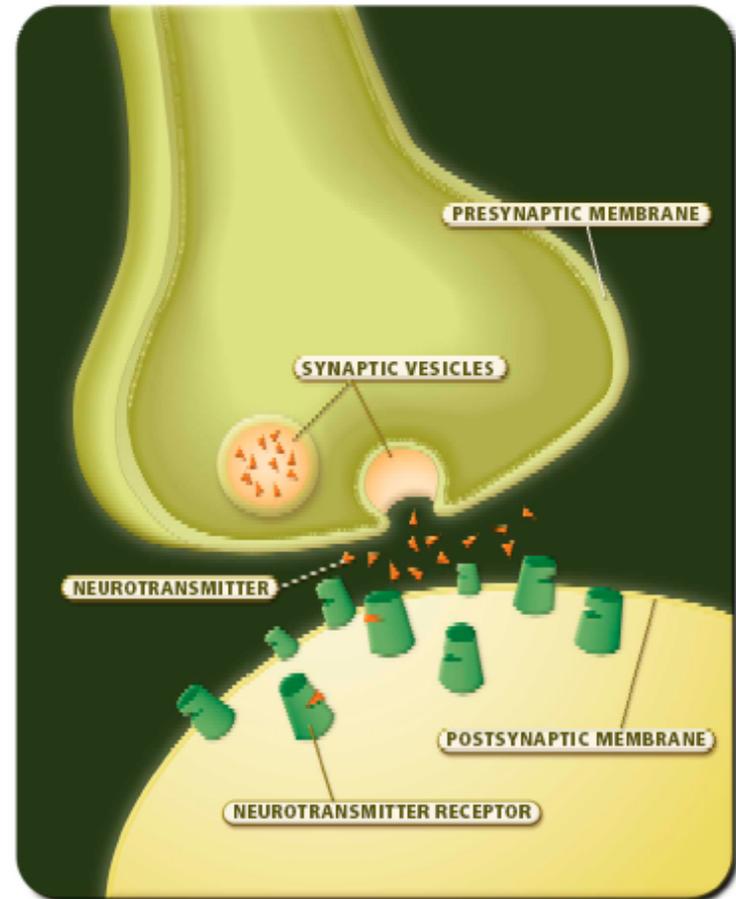
# [ Outline ]

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- Neuromodulators
- Dopamine System
- Dopamine, Rewards and Learning
- Dopamine and Drug Addiction

# Neurotransmission

- Signal arrives at presynaptic terminal
- Neurotransmitters are released into synaptic cleft
- Receptors at postsynaptic cause excitatory or inhibitory response
- ~ 50 Neurotransmitters:
  - Glutamate, GABA
  - Dopamine, Serotonine
  - Acetylcholine
  - Endorphines
  - ...

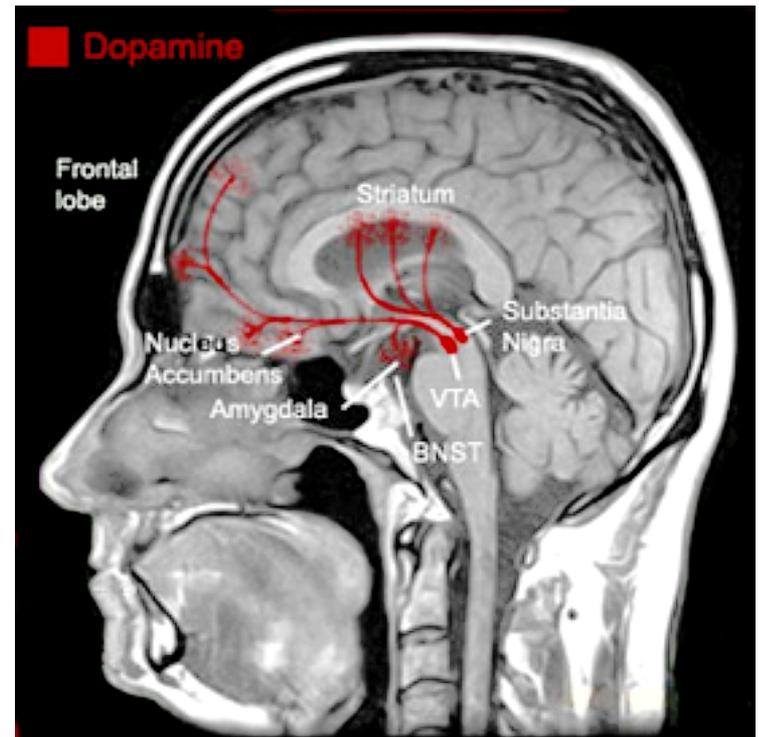


# Neuromodulators

- Substances that **modulate neurotransmission pre- or postsynaptically**
    - Release of transmitters
    - Sensitivity of receptors
    - Reuptake
  - Effects are slower, but longer lasting
  - Involved in control of general arousal
  - Substances can be both neurotransmitters and neuromodulators at the same time
- Neuromodulators
    - Natural modulators
      - **Dopamine**
      - Serotonine
      - Acetylcholine
      - Norepinephrine
      - ...
    - Therapeutic psychoactive drugs
      - Prozac, anaesthetics, pain killers, ...
    - Recreational drugs
      - Cocaine, opiates, alcohol, nicotine, ...

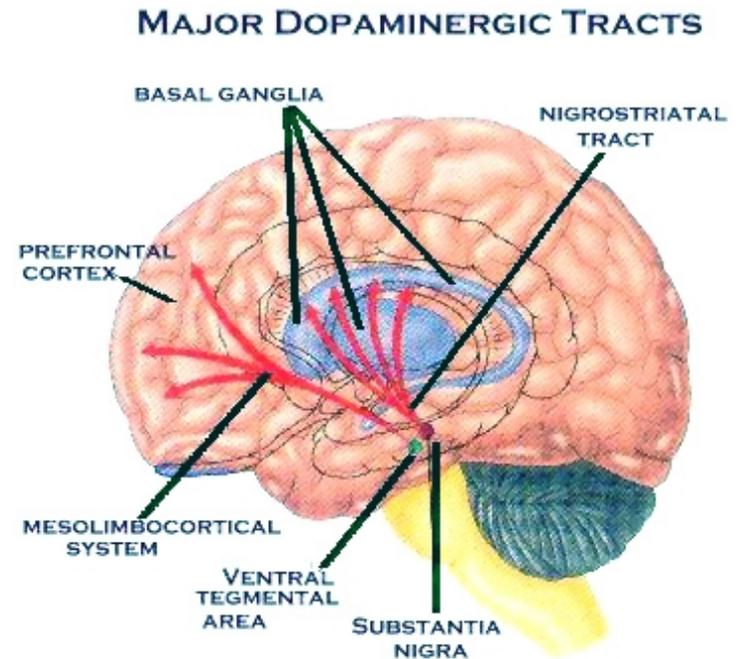
# Special Role of Dopamine

- Transmitter & Modulator
- Motor Processes
- Motivational Processes
- Learning
- Clinical significance
  - Parkinson's disease
  - Schizophrenia
  - Drug addiction



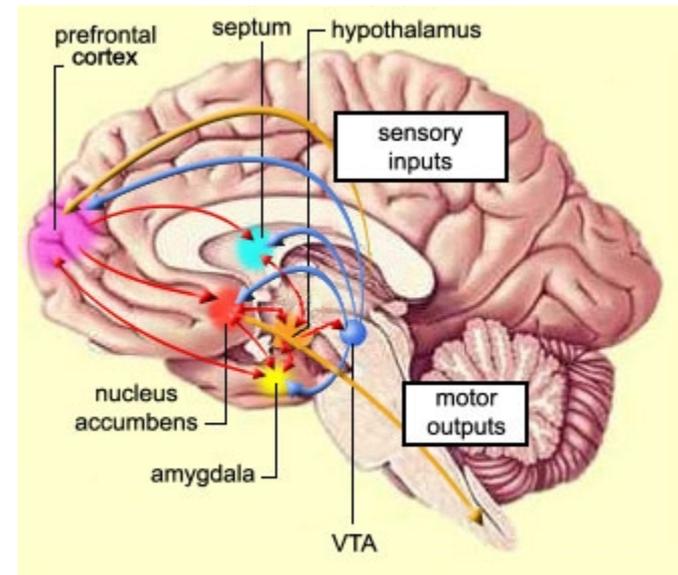
# The Dopamine System

- Dopamine (DA) Neurons
  - Substantia Nigra pars compacta (SNc)
  - Ventral Tegmental Area (VTA)
- Nigrostriatal Pathway
  - SNc → Striatum
  - **Movements**
- Mesolimbic Pathway
  - VTA → Nucleus Accumbens
  - **Pleasure**
- Mesocortical Pathway
  - VTA → Frontal Cortex
  - **Motivation and Emotions**



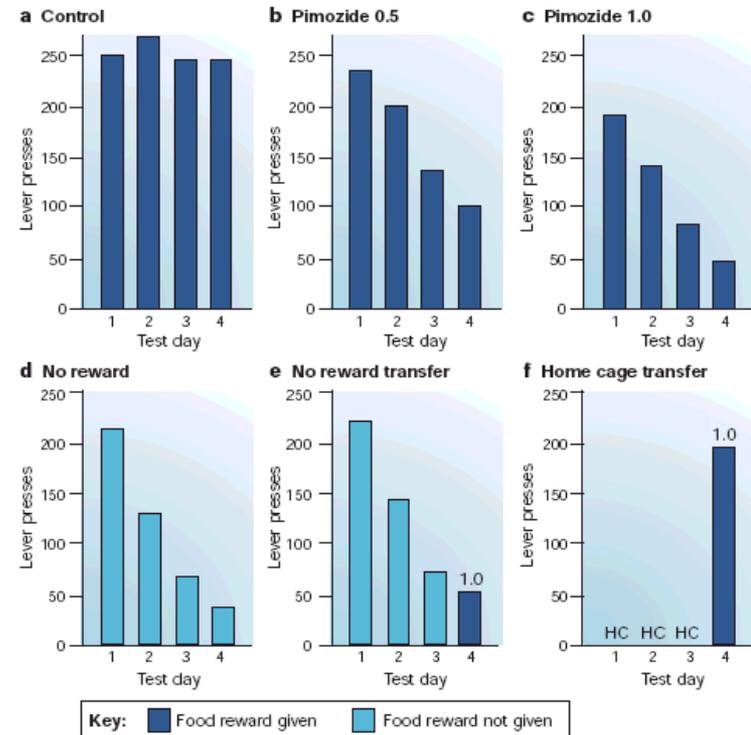
# Dopamine and Rewards

- Positive reward circuit
  - VTA
- Early hypothesis: DA directly indicates **pleasure**
- Newer hypothesis: DA **facilitates learning**
  - DA level prior to behavior is proportional to potential for providing pleasure
- Punishment circuit is different
  - acetylcholine



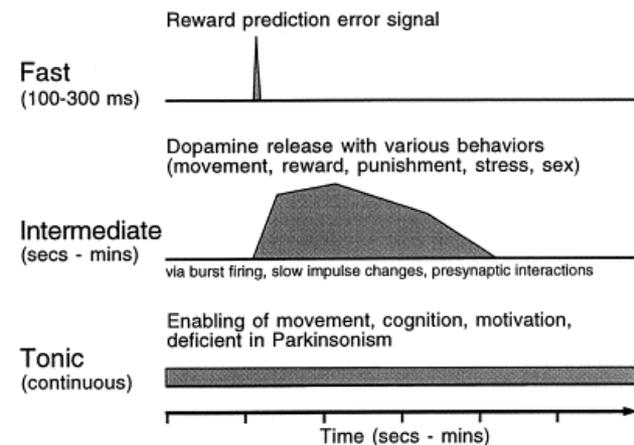
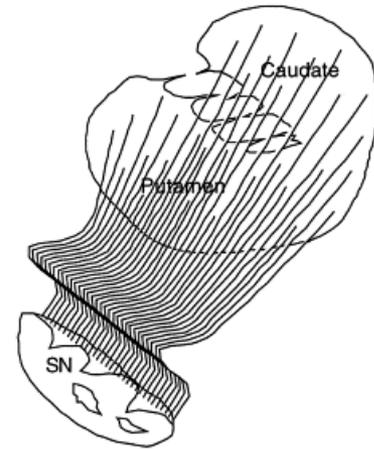
# Effects of DA Receptor Blockade

- Animals trained to lever-press for food-reward
- When reward is still given, animals keep on lever-pressing (a)
- When DA is blocked (Pimozide), animals **unlearn behavior**, although reward is given (b-c)
  - Same as in extinction(d-e)
  - Drug did not attenuate performance capacity
- If animals have no chance to unlearn, drug does not influence the behavior (f)



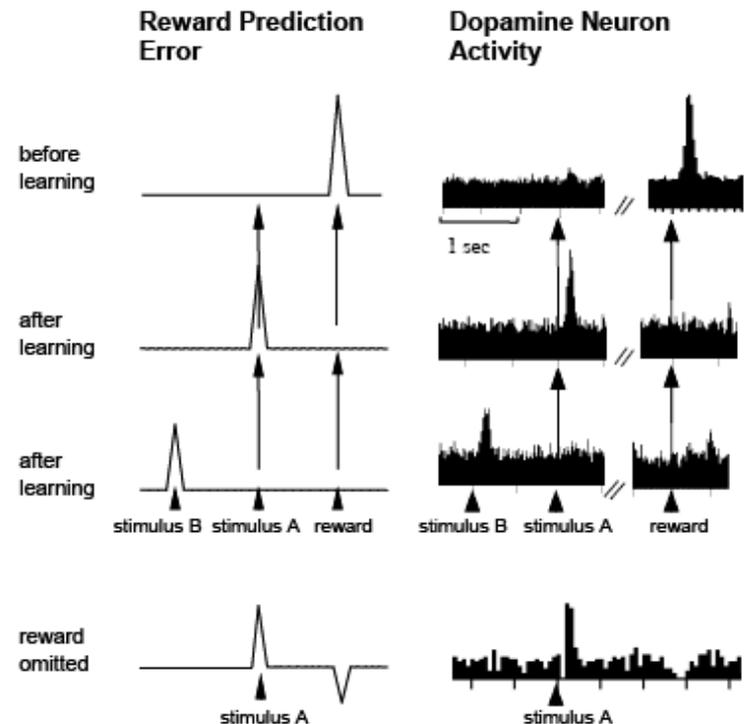
# Dopamine Activation by Rewarding Stimuli

- Most DA neurons show **phasic** (~ 200 ms) activations to rewarding and reward-predicting stimuli
  - Only 14% to aversive stimuli
- DA neurons **do not discriminate** between sources of reward
- DA reward signal is a relatively **homogeneous** population signal
  - **Global** reinforcement signal
  - High divergence
- Different **time courses** of different DA functions



# Shift of DA Response

- Before learning:
  - No response to neutral stimulus A
  - DA neurons are activated by reward
- After training, **DA response shifts to time of conditioned stimulus**
  - If another stimulus B is trained to predict reward, stimulus A becomes neutral again
- **Depression of DA activity if reward is omitted**
  - DA neuron still sensitive to delivery of reward



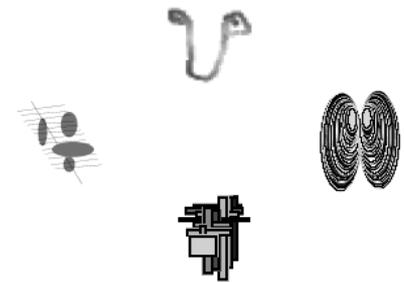
# Learning with Rewards

- Pavlov: Pairing of stimuli with rewards and punishments guide learning
- Newer animal learning theories argue that reward **prediction errors** trigger learning
- **Rescorla-Wagner** learning rule:
  - Linear model for expected reward:  $V = w \cdot s$
  - Update  $w \leftarrow w + \epsilon \cdot \delta \cdot s$
  - Where  $\delta = r - V$  ...  $r =$  actual reward
- Similar to TD-rule in Reinforcement Learning
- Activity of dopamine neurons is similar to  $\delta$

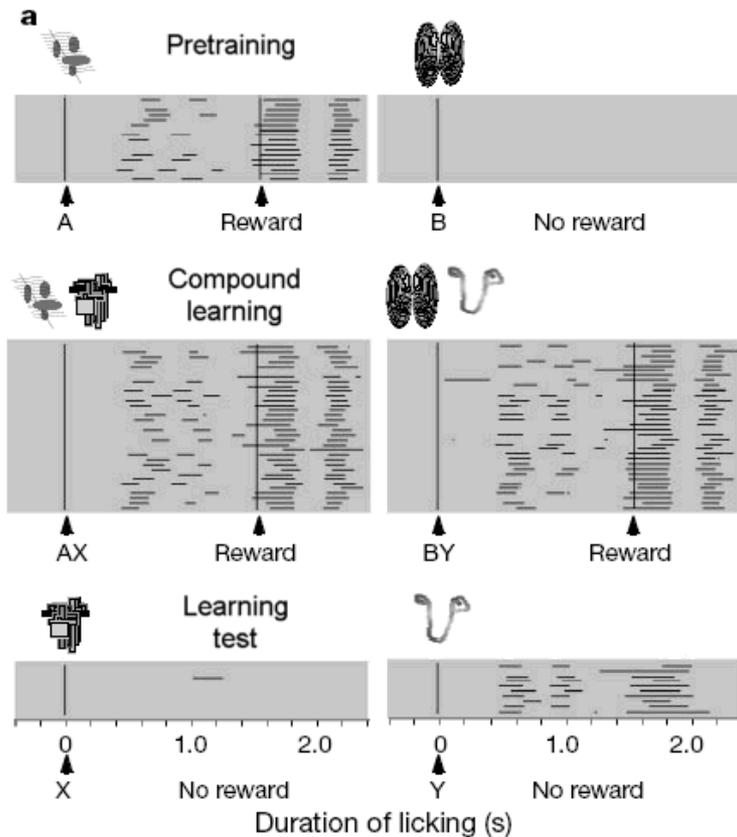
# Dopamine as Reward Predictor

Waelti, Dickinson & Schultz, 2001

- Phasic DA response to rewards compatible with prediction errors
- Formal test with **blocking** paradigm
- Classical / Pavlovian conditioning experiment
  - Monkey receives juice rewards
  - Visual stimuli (fractals) before reward delivery become conditioned stimuli
  - Anticipatory licking is conditioned response

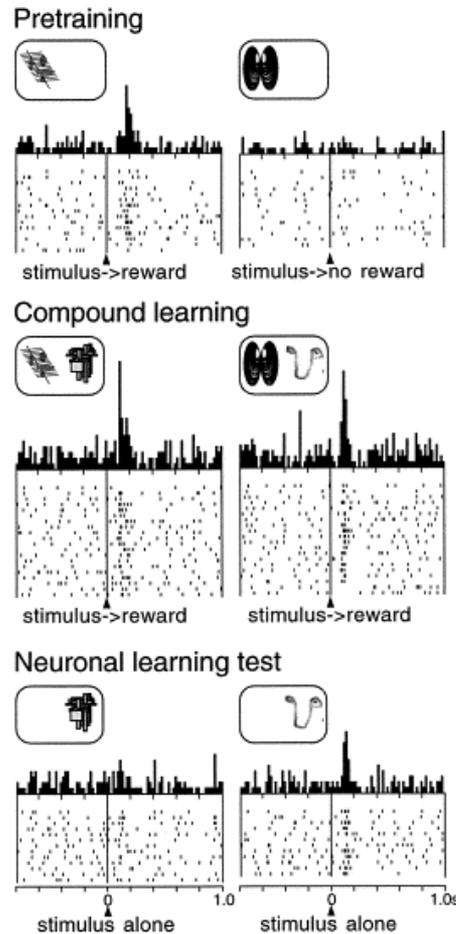


# Blocking Test: Behavioral Response



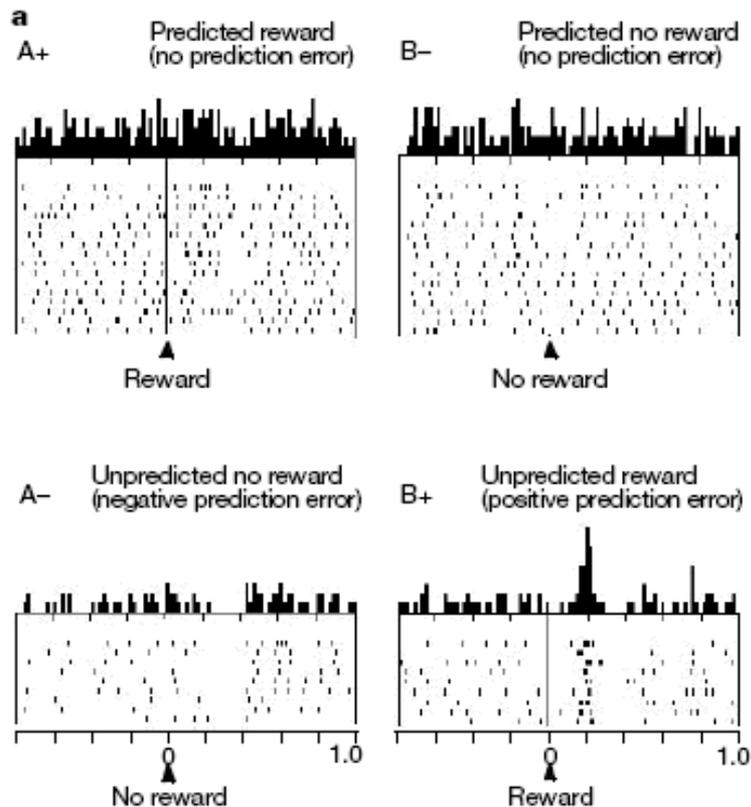
- **Pretraining**
  - A+ ( $A \rightarrow r$ ), B- ( $B \rightarrow \cdot$ ) trials
- **Compound learning**
  - Additional novel stimuli X and Y
  - AX+, BY+ trials
  - Anticipatory licking is observed in both cases
- **Learning test**
  - Response to X and Y?
  - X is blocked by A
  - Y becomes reward predictor

# Neuronal Response



- Response of DA neurons to stimuli
  - Activated by **reward-predicting** stimulus A
  - No reaction to unrewarded stimulus B
  - Same activation caused by AX and BY
  - **Blocked neuronal response** to redundant stimulus X
  - **Learned response** to Y

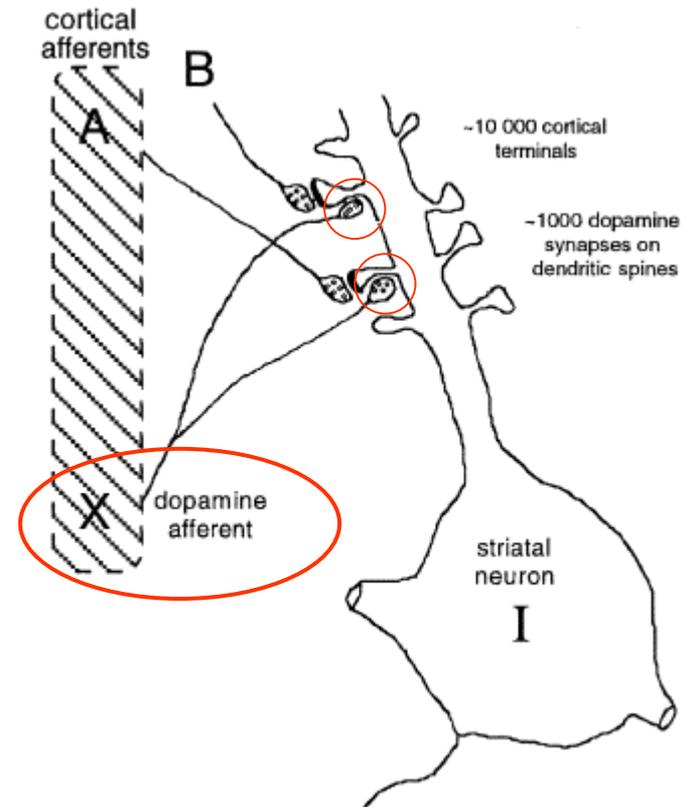
# Response at Time of Reward



- Responses during pretraining
  - Expected reward generates **no** DA response
  - Surprising reward creates **positive** DA response
  - Omission of reward creates DA **depression**

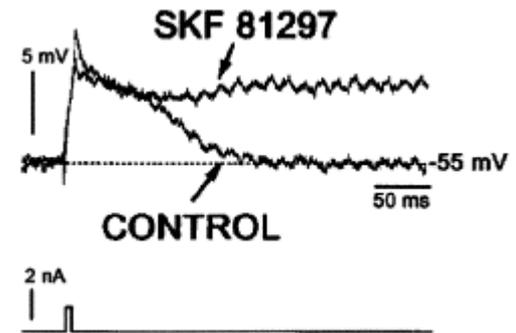
# Influence of DA on postsynaptic Structures

- DA signal is broadcast as **global reinforcement signal**
- DA and cortical inputs often **converge** on same dendritic spine in striatum
- Cortical input signals information about stimulus
- Concurrent DA and cortical input leads to **synaptic change**
  - Sign and Amplitude of DA signal affect plasticity
  - Effect depends on DA receptor type
- Heterogeneous learning through different cortical inputs



# Possible Effects of DA Signal

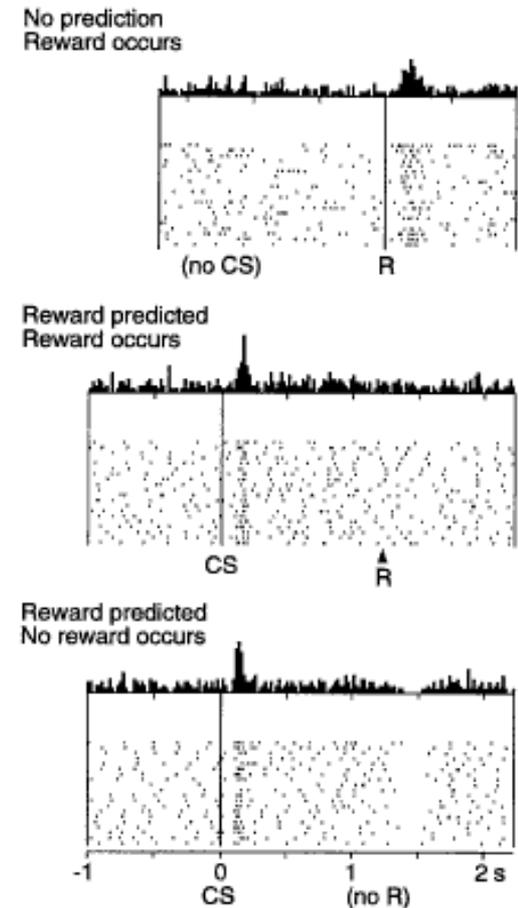
- Transient **enhancement** of neurotransmission
  - D1 agonist SKF 81297
- **Enabling** of postsynaptic plasticity
  - Allow plasticity to take place
- **Enhancement** of postsynaptic plasticity
  - DA antagonists disrupt plasticity
- **Induction** of postsynaptic plasticity
  - DA application leads to LTP



See W. Schultz: “Getting formal with dopamine and reward”, Neuron, 2002, for an overview

# Summary: DA as Prediction Error

- Acquisition of DA responses and behavioral reactions is governed by **prediction errors**, not simple stimulus-reinforcer pairings
- Responses of DA neurons follow theory of **blocking** paradigm
- Behavioral and neuronal learning is correlated with activations of DA neurons by rewards
- DA responses gives **error signal** for **modifying synaptic processing**
  - Similar to Rescorla-Wagner rule and TD-Learning



# [ More Hypotheses about DA ]

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- Role of dopamine remains controversial
- Drug Addiction
- Attention and Novelty
- Motivation
- Motor Hypothesis

# Sources

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