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# On the Complexity of Knock-knee channel routing with 3-terminal nets\*

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## Abstract

In this paper we consider a basic problem in the layout of VLSI-circuits, the channel-routing problem in the knock-knee mode. We show that knock-knee channel routing with 3-terminal nets is NP-complete and thereby settling a problem that was open for more than a decade. In 1987, Sarrafzadeh showed that knock-knee channel routing with 5-terminal nets is NP-complete (Sarrafzadeh, 1987). Furthermore, it is known that this problem is solvable in polynomial time if only 2-terminal nets are involved (Frank, 82), (Formann et al., 1993).

## 1 Introduction

The channel routing problem arises in the design process of VLSI circuits. A channel is a rectangular grid with top and bottom boundaries. Terminals are grid points located on the upper or lower boundary of the grid, and must be connected via wires. A  $k$ -terminal net is a set of  $k$  such terminals. The channel-routing problem can be described as follows: For a set of nets, find a set of edge-disjoint subgraphs of the grid connecting the terminals of each net, while minimizing the number of horizontal lines (tracks). Often, the number of terminals of the nets is restricted. The routing models mostly considered in the literature

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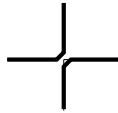


Figure 1: A knock-knee. Two nets bend at a grid vertex.

are Knock-knee routing (see, e.g. (Kuchem et al., 1996)) and Manhattan routing (see, e.g. (Middendorf, 1996)). A knock-knee is shown in Figure 1. At a knock-knee, two nets bend at a grid-vertex. Such a routing is allowed in the knock-knee model, but not in the Manhattan model. This paper is concerned with knock-knee routing. Middendorf showed that Manhattan channel-routing is NP-complete for 2-terminal nets even if all nets are single sided (*i.e.* both terminals of a net are either on the top or on the bottom boundary) and the bottom nets have density one (Middendorf, 1996). The proof of Middendorf also inspired the proof of the result in this paper. Hence Manhattan routing is harder than knock-knee routing (unless  $P = NP$ ), since it is well known that knock-knee routing is solvable in polynomial time if only 2-terminal nets are involved (Frank, 82), (Formann et al., 1993). On the other hand, it was shown that knock-knee channel routing with 5-terminal nets is NP-complete (Sarrafzadeh, 1987), too. This paper closes the gap left open by these results by showing that knock-knee channel-routing is NP-complete for 3-terminal nets.

We start by introducing some notation and another channel routing problem in Section 2. In Section 3, it is shown that this problem is NP-complete. This result can be used directly to show the main result of the paper.

## 2 Preliminaries

In contrast to a channel described in Section 1, a channel with a right boundary is a rectangular grid that has boundaries at three sides, the top boundary, the bottom boundary and the right boundary. The horizontal grid lines between top and bottom boundaries are called tracks. They are numbered  $1, \dots, k$  from the top track to the bottom track. The vertical grid lines are numbered from left to right, with the right boundary at the vertical line  $p$ .

A *terminal* is defined by a grid point on the boundary. No two terminals can be on the same grid point. In this model, terminals on the right boundary are movable in the vertical direction, *i.e.* if a terminal is specified to lie on the right boundary, the horizontal position can be chosen freely. We write  $t_i$  for a terminal that lies on the  $i$ -th vertical line and the top boundary,  $b_i$  for a terminal that lies on the  $i$ -th vertical line and the bottom boundary (for

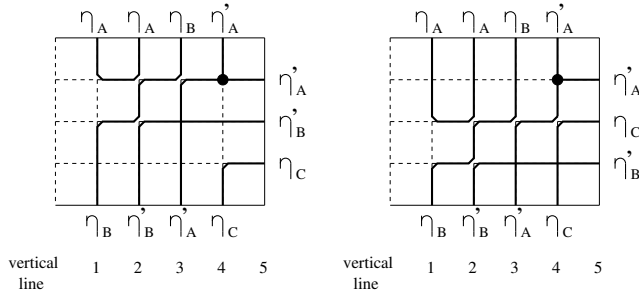


Figure 2: Two possible routings for supernets  $\mathcal{N}$  as given in Example 2.1.

$i \in \{1, \dots, p-1\}$  and  $r$  for a terminal that lies on the right boundary.

A  $n$ -terminal net  $\eta$  is a  $n$ -tuple of different terminals  $\eta = (a_1, \dots, a_n)$  where  $a_i$  is on a vertical line smaller or equal to the vertical line of  $a_{i+1}$  for  $i = 1, \dots, n-1$  (i.e.  $a_i \in \{t_{tr_i}, b_{tr_i}, r\}$ ,  $1 \leq tr_i \leq tr_{i+1} \leq p-1$ , and  $a_i \neq r$  for  $i < n$ ). We say that a net  $\eta = (a_1, \dots, a_n)$  has its first (respectively last) terminal at vertical line  $l$  if  $a_1$  (respectively  $a_n$ ) is a terminal at vertical line  $l$ . We will often use the term “net” for a  $n$ -terminal net and omit the prefix. All nets considered in this paper are at most 3-terminal nets.

A *supernet*  $N$  is a set of nets where at most one net  $\eta$  in the set has a terminal at the right boundary. We say that a supernet  $N$  terminates on the right boundary if and only if there exists a net in  $N$  that has a terminal on the right boundary. A supernet  $N$  is a  $n$ -terminal supernet if all its nets are at most  $n$ -terminal nets.

Let  $\mathcal{N}$  be a set of supernets for a channel with  $k$  tracks and a right boundary at vertical line  $p$ . A *routing* for  $\mathcal{N}$  is an arrangement of routing paths in the channel for all the nets contained in the supernets in  $\mathcal{N}$  with respect to the knock-knee model.

**Example 2.1.** A channel with three tracks and a right boundary at vertical line 5 is given. Consider the set  $\mathcal{N} = \{N_A, N_B, N_C\}$  of supernets where  $N_A = \{\eta_A, \eta'_A\}$  with  $\eta_A = \{t_1, t_2\}$  and  $\eta'_A = \{b_3, t_4, r\}$ ,  $N_B = \{\eta_B, \eta'_B\}$  with  $\eta_B = \{b_1, t_3\}$  and  $\eta'_B = \{b_2, r\}$ , and  $N_C = \{\eta_C\}$  with  $\eta_C = \{b_4, r\}$ . In Figure 2, two possible routings for  $\mathcal{N}$  in this channel are shown.

We can now formulate our problem.

**Definition 2.1 (knock-knee channel routing with right boundary).**

**Instance** Given a triple  $I = (k, p, \mathcal{N})$  with integers  $k, p$  and a set  $\mathcal{N} = \{N_1, N_2, \dots, N_k\}$  of  $k$  3-terminal supernets for a channel with  $k$  tracks and a right boundary at

column  $p$ .

**Question** *Is there a routing for  $I$ ?*

We refer to that problem as KKR<sub>B</sub>.

The segment between two vertical lines  $i$  and  $i + 1$  is called *column*  $i^{\rightarrow}$  or  $(i + 1)^{\leftarrow}$ . For an instance of KKR<sub>B</sub>, the *density* of a column  $i^{\rightarrow}$  (local density) is the number of nets with at least one terminal to the left (including vertical line  $i$ ) and at least one terminal to the right (including vertical line  $i + 1$ ) of column  $i^{\rightarrow}$ . The density  $d$  of the instance (global density) is the maximum of all local densities.

For some routing  $R$ , we say that the net  $\eta$  is on track  $i$  in column  $j^{\rightarrow}$  if track  $i$  is used by net  $\eta$  in column  $j^{\rightarrow}$  in  $R$ . Note that a net may use several tracks in a column. We say that a supernet  $N$  is on track  $i$  in column  $j^{\rightarrow}$  if some net  $\eta \in N$  is routed on track  $i$  in column  $j^{\rightarrow}$ . Furthermore, we say that a net  $\eta$  changes its track at vertical line  $l$  if  $\eta$  is on some track  $i$  in column  $l^{\leftarrow}$  and  $\eta$  is on some track  $j \neq i$  in column  $l^{\rightarrow}$ . We give some easy but useful observations on knock-knee channel-routings.

**Observation 2.1.** *Consider a knock-knee channel routing with  $k$  tracks. Let  $l$  be a vertical line in this channel (see Figure 3). If columns  $l^{\leftarrow}$  and  $l^{\rightarrow}$  have density  $k$ ,*

*a and no net has its last terminal at  $l$ , then no net changes its track at  $l$ .*

*b a net  $\eta_1$  has a top terminal, and a net  $\eta_2 \neq \eta_1$  has a bottom terminal at  $l$  and neither  $\eta_1$  nor  $\eta_2$  has a last terminal at  $l$ , then  $\eta_1$  is routed at a track  $t_1$  in columns  $l^{\leftarrow}$  and  $l^{\rightarrow}$  and  $\eta_2$  is routed at a track  $t_2$  in columns  $l^{\leftarrow}$  and  $l^{\rightarrow}$  with  $t_1 < t_2$ .*

*Proof:* To show Observation 2.1a, suppose that a net  $\eta$  is on some track  $t_1$  in column  $l^{\leftarrow}$  and on some track  $t_2$  in column  $l^{\rightarrow}$  with  $t_1 \neq t_2$  (see Figure 3a). Suppose that  $t_2 > t_1$ . Because both columns have full density,  $\eta$  uses exactly one horizontal grid edge in each of these columns. Hence,  $\eta$  uses the vertical grid edges between  $t_1$  and  $t_2$ . Hence, all nets that are below  $t_2$  in column  $l^{\leftarrow}$ , are on tracks below  $t_2$  in column  $l^{\rightarrow}$ . Denote with  $\eta'$  the net that is on track  $t_2$  in column  $l^{\leftarrow}$ . If  $\eta$  has a bottom terminal at vertical line  $l$ , there is no way to route  $\eta'$ . If  $\eta$  has no bottom terminal at  $l$ ,  $\eta'$  is routed at some track below  $t_2$ . Then there are  $k - t_2 + 1$  nets routed below  $t_2$ , but only  $k - t_2$  tracks below  $t_2$ . This leads to a contradiction. The proof for  $t_2 < t_1$  is similar.

The situation of Observation 2.1b is shown in Figure 3b. In column  $l^{\leftarrow}$ ,  $\eta_1$  is on track  $t_1$  and  $\eta_2$  is on track  $t_2$ . Because of the full density, both nets use exactly one horizontal grid edge in  $l^{\leftarrow}$  and exactly one in  $l^{\rightarrow}$ . Because of Observation 2.1a, these nets do not change

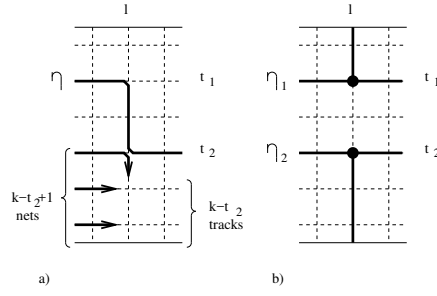


Figure 3: Three situations in a channel with full density around  $l$ . a) The situation of Observation 1. The given routing of  $\eta$  leads to a contradiction. b) The situation of Observation 3a.  $\eta_1$  is routed above  $\eta_2$  at columns  $l^{\leftarrow}$  and  $l^{\rightarrow}$  in any routing.

their tracks at  $l$ . Hence, the vertical grid edges from  $t_1$  to the top boundary and from  $t_2$  to the bottom boundary are used by  $\eta_1$  and  $\eta_2$ , and it follow that  $t_1 < t_2$ . ■

Let  $R$  be a routing for an instance  $I = (k, p, \{N_1, \dots, N_k\})$  of KKR B. For some column  $c$ , let  $N^c$  be the set of nets that are routed in column  $c$  by  $R$  i.e.  $N^c = \{\eta \mid \eta \in \bigcup_{i=1}^k N_i \text{ and } \eta \text{ is routed in column } c \text{ by } R\}$ . On each column  $c$  of  $I$  with full density, we define a function  $tr_R^c : N^c \rightarrow \{1, \dots, k\}$ , such that for some  $\eta \in N^c$ ,  $tr_R^c(\eta)$  is the index of the track where  $\eta$  is routed in column  $c$  of routing  $R$ . We omit the column in the superscript if  $c$  is the last column of  $I$  (i.e. the column to the left of the right boundary of  $I$ ). The function is defined in a similar manner for supernets of  $I$ . For some column  $c$ , let  $\mathcal{N}^c$  be the set of supernets that are routed in column  $c$  by  $R$ . On each column  $c$  of  $I$  with full density, we define a function  $tr_R^c : \mathcal{N}^c \rightarrow \{1, \dots, k\}$ , such that for some  $N \in \mathcal{N}^c$ ,  $tr_R^c(N) = \min\{tr_R^c(\eta) \mid \eta \in N \text{ and } \eta \text{ routed in column } c \text{ by } R\}$ . We take the minimum of because in our definition, a supernet could have several nets with terminals to both sides of a given column. However, we will avoid such situations threout the paper.

For some routing  $R$  of an instance  $I$  of KKR B we say that a net  $\eta$  makes a *detour* in column  $p^{\rightarrow}$  if  $\eta$  uses at least two horizontal grid-edges in column  $p^{\rightarrow}$  and either  $\eta$  has no terminal to the right of  $p^{\rightarrow}$  (including vertical line  $p+1$ ) or no terminal to the left of  $p^{\rightarrow}$  (including vertical line  $p$ ). In the former case we also say that  $\eta$  makes a detour to the right at vertical line  $p$  and in the latter case we also say that  $\eta$  makes a detour to the left at vertical line  $p+1$ . In the left figure of Figure 4  $\eta_B$  makes a detour to the left at vertical line  $p$ .

**Observation 2.2.** Consider an instance  $I = (k, q, \mathcal{N})$  of KKR B with two nets of the following form: For  $3 \leq p \leq q-2$  and terminals  $a, b$  at vertical lines to the right of  $p+1$ , let

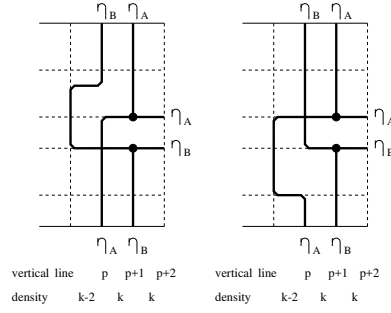


Figure 4: A channel portion described in Observation 2.2. Exactly one of the nets  $\eta_A, \eta_B$  makes a detour to the left at vertical line  $p$ . In the left figure  $\eta_B$  makes this detour, in the right figure  $\eta_A$  makes this detour.

$\eta_A = (b_p, t_{p+1}, a)$  and  $\eta_B = (t_p, b_{p+1}, b)$ . Furthermore let the density of  $p^{\leftarrow}$  be  $k - 2$  and the densities of  $p^{\rightarrow}$  and  $(p + 1)^{\rightarrow}$  be  $k$  (see Figure 4). For any routing  $R$  for  $I$  it holds that

$$a \quad tr_R^{(p+1)^{\rightarrow}}(\eta_B) > tr_R^{(p+1)^{\rightarrow}}(\eta_A),$$

$b$  at vertical line  $p$ , exactly one of the nets  $\eta_A, \eta_B$  makes a detour to the left and no other net makes a detour in column  $p^{\leftarrow}$ , and

*Proof.* From Observation 2.1b it follows that  $tr_R p^{\rightarrow}(\eta_A) = tr_R(p+1)^{\rightarrow}(\eta_A) < tr_R(p+1)^{\rightarrow}(\eta_B) = tr_R p^{\rightarrow}(\eta_B)$  which implies Observation 2.2a. Since the density of  $p^{\rightarrow}$  is  $k$ , each of these nets uses exactly one horizontal grid-edge in this column and none makes a detour to the right. Suppose that none makes a detour to the left. Then the vertical grid-edges between  $tr_R p^{\rightarrow}(\eta_A)$  and  $tr_R p^{\rightarrow}(\eta_B)$  are used by both nets which contradicts the definition of a layout. Hence, at least one of the nets makes a detour to the left. Since the density at column  $p^{\leftarrow}$  is  $k - 2$  and this net uses two horizontal grid-edges at this column,  $k$  horizontal grid-edges are used and no other net in  $I$  makes a detour at column  $p^{\leftarrow}$  which shows Observation 2.2b. ■

Let  $I = (k, p, \mathcal{N})$  be an instance of KKRB. An extension of  $I$  is an instance  $I' = (k, q, \mathcal{N}')$  with  $q > p$  and  $\mathcal{N}' = \{N'_1, N'_2, \dots, N'_k\}$  such that for all  $i \in \{1, \dots, k\}$ , the following holds:

1. For all nets of the form  $(a_1, \dots, a_n)$  without a terminal on the right boundary (*i.e.*  $a_n \neq r$ ), we have  $(a_1, \dots, a_n) \in N_i \Rightarrow (a_1, \dots, a_n) \in N'_i$ .
2. If  $N_i$  contains a net of the form  $(a_1, \dots, a_{n-1}, r)$ , then  $N'_i$  contains a net of the form  $(a_1, \dots, a_{n-1}, b)$  where  $b$  is a terminal on any of the three boundaries.

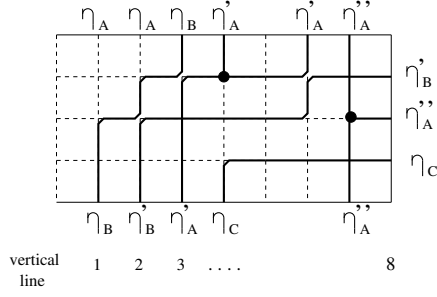


Figure 5: A routing for extension  $I'$  of Example 2.2.

To avoid cumbersome notation, we will denote the set of supernets for an instance  $I$  of KKRB and an extension  $I'$  of  $I$  with the same character. The same will be done for the corresponding supernets and the corresponding nets contained in the supernets.

**Example 2.2.** Consider an instance  $I = \{3, 5, \mathcal{N}\}$  of KKRB where  $\mathcal{N}$  is identical to the one defined in Example 2.1. Let  $I' = \{3, 8, \mathcal{N}'\}$  where  $\mathcal{N}' = \{N_A, N_B, N_C\}$ ,  $N_B$  and  $N_C$  are defined as in Example 2.1, and  $N_A = \{\eta_A, \eta'_A, \eta''_A\}$  with  $\eta_A = \{t_1, t_2\}$ ,  $\eta'_A = \{b_3, t_4, t_6\}$ , and  $\eta''_A = \{t_7, b_7, r\}$ .  $I'$  is an extension of  $I$ . In Figure 5, a routing for  $I'$  is shown.

With the help of extensions, we will force specific properties for the routing of some supernets, e.g. that a supernet is routed above another one in any routing. We will want that in any routing, specific nets do not change their track within the portion of the channel added by an extension. This leads to the following definition. An extension  $I' = (k, q, \mathcal{N}')$  of an instance  $I = (k, p, \mathcal{N})$  is  $\mathcal{M}$ -safe for  $I$ ,  $\mathcal{M} \subseteq \mathcal{N}$  if for every routing for  $I'$  and each  $N \in \mathcal{M}$  the supernet  $N$  is on track  $i$  in column  $q^{\leftarrow}$  if and only if  $N$  is on track  $i$  in column  $p^{\leftarrow}$ .

Consider an instance  $I$  of KKRB with supernets  $A$  and  $B$  that terminate on the right boundary. The following lemma states that there exists an extension  $I'$  that enforces  $B$  to be routed below  $A$  on the right boundary.

**Lemma 2.1.** Let  $I = (k, p, \mathcal{N})$  be an instance of KKRB where all  $k$  supernets in  $\mathcal{N}$  terminate on the right boundary. Then, for each two different supernets  $A, B \in \mathcal{N}$ , there exists an extension  $I' = (k, p + 4, \mathcal{N})$  of  $I$  such that:

1. all supernets in  $I'$  terminate on the right boundary,
2. there exist a routing  $R'$  for  $I'$  if and only if there exists a routing  $R$  for  $I$  with  $tr_R(B) > tr_R(A)$ .

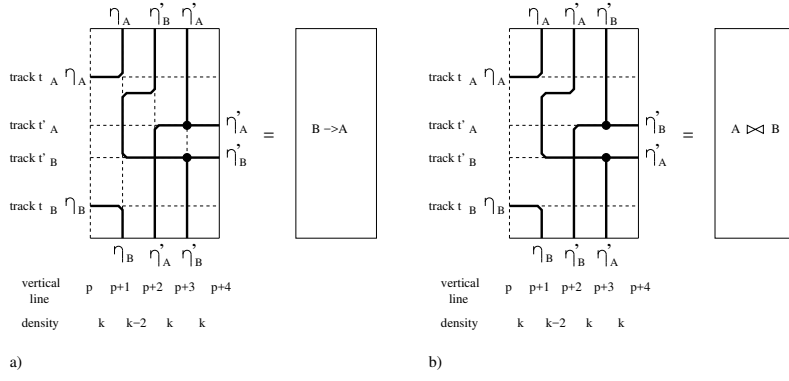


Figure 6: A layout for the supernets  $A = \{\eta_A, \eta'_A\}$  and  $B = \{\eta_B, \eta'_B\}$  in two extensions. Note that  $A$  is routed on a track above  $B$  at column  $\leftarrow$  in any routing. a) In this extension,  $A$  is routed above  $B$  on the right boundary. b) In this extension,  $A$  is routed below  $B$  on the right boundary. Note that in (b), simply the net  $\eta'_A$  is exchanged with the net  $\eta'_B$ .

3. If there exists a routing  $R'$  for  $I'$ , then  $R'$  has the following properties:

- (a)  $tr_{R'}(B) > tr_{R'}(A)$
- (b)  $tr_{R'}(B) \leq tr_{R'}^{p \leftarrow}(B)$
- (c)  $tr_{R'}(A) \geq tr_{R'}^{p \leftarrow}(A)$ .

4. If in some routing  $R'$  for  $I'$   $tr_{R'}(A) = tr_{R'}^{p \leftarrow}(A)$  and  $tr_{R'}(B) = tr_{R'}^{p \leftarrow}(B)$ , then for each supernet  $N \in \mathcal{N}$  it holds that  $tr_{R'}(N) = tr_{R'}^{p \leftarrow}(N)$ .

*Proof.* Let  $\mathcal{N}$  of  $I'$  be such that the supernets in  $\mathcal{N} - \{B, A\}$  are not modified (note that supernets that terminate on the right boundary of  $I$  now terminate on the right boundary of  $I'$ ). The supernets  $B$  and  $A$  are modified as shown in Figure 6a: The right boundary terminal net  $(a_1, a_2, r)$  in  $B$  is replaced by a net  $\eta_B = (a_1, a_2, b_{p+1})$ . Furthermore we add a 3-terminal net  $\eta'_B = (t_{p+2}, b_{p+3}, r)$  to  $B$ . The right boundary terminal net  $(a_1, a_2, r)$  in  $A$  is replaced by a net  $\eta_A = (a_1, a_2, t_{p+1})$ . Furthermore we add a 3-terminal net  $\eta'_A = (b_{p+2}, t_{p+3}, r)$  to  $A$ . By construction, Condition 1 holds.

The layout of Figure 6a proves that there is a layout for  $I'$  if the Condition 2 is met. Furthermore, this routing satisfies Condition 3. The equalities can be achieved by setting  $t_A = t'_A$  and  $t_B = t'_B$ . Note that there is no terminal at vertical line  $p$  by definition of an instance of KKRB. Hence, no net changes its track at  $p$ .

We show that such a layout exists only if Conditions 2 and 3 are met. Clearly, there is no



routing for  $I'$  if there is no routing for  $I$ . Denote the track of  $\eta_A$  in column  $p \rightarrow$  with  $t_A$  and the track of  $\eta_B$  in column  $p \rightarrow$  with  $t_B$ . Furthermore, denote the track of  $\eta'_A$  in column  $(p+3) \rightarrow$  with  $t'_A$  and the track of  $\eta'_B$  in column  $(p+3) \rightarrow$  with  $t'_B$ . The local densities of the instance are given in Figure 6a. By Observation 2.1b,  $t'_A < t'_B$  follows. Hence Condition 3a holds for any routing for  $I'$ . Furthermore,  $tr_{R'}^{(p+2) \rightarrow}(\eta'_A) = t'_A < t'_B = tr_{R'}^{(p+2) \rightarrow}(\eta'_B)$  for any routing for  $R'$  for  $I'$  by Observation 2.1b.

By Observation 2.2, a net  $\eta_{left} \in \{\eta'_A, \eta'_B\}$  makes a detour to the left at vertical line  $p+1$  and neither  $\eta_A$  nor  $\eta_B$  makes a detour to the right at vertical line  $p$ . Furthermore by the full density in column  $p \rightarrow$ ,  $\eta_{left}$  uses all vertical grid-edges between its horizontal grid-edges in  $(p+1) \rightarrow$  at vertical line  $p+1$ . It follows that in any routing it holds that  $t_A \leq t'_A < t'_B \leq t_B$ . This shows Conditions 2 and 3.

Consider a routing  $R'$  with  $tr_{R'}(A) = tr_{R'}^{p \leftarrow}(A)$  and  $tr_{R'}(B) = tr_{R'}^{p \leftarrow}(B)$ . Proposition 4 holds for these supernets by definition. Suppose that  $\eta'_B$  makes the detour at vertical line  $p+2$ . Then  $\eta'_A$  makes no detour, is on track  $t_A$  in column  $(p+2) \rightarrow$  and uses all vertical grid-edges below  $t_A$  at vertical line  $p+2$ . Furthermore  $\eta'_B$  uses all vertical grid-edges above  $t_A$ , a horizontal grid-edges at  $t_A$  and  $t_B$  in  $(p+2) \leftarrow$  and all vertical grid-edges between. Hence all vertical grid-edges are used at vertical lines  $p+1$  and  $p+2$  and no net changes its track at these vertical lines. Furthermore no net changes its track at vertical line  $p+3$  by Observation 2.1a. ■

**Remark 2.3.** *From Conditions 3 and 4 it follows that if  $tr_R(B) = tr_R(A) + 1$  in any routing  $R$  for  $I$ , then  $I'$  is  $\mathcal{N}$ -safe for  $I$ . In other words, if one understands the extension merely as some part of the channel, then under these conditions, for all routings  $R$  and supernets  $N \in \mathcal{N}$  it holds that  $tr_R^{(p+4) \leftarrow}(N) = tr_R^{p \leftarrow}(N)$ .*

The following lemma is very similar to Lemma 2.1. The difference in the extension is, that we enforce that  $A$  and  $B$  change their order within the extension, *i.e.*  $A$  is routed below  $B$  after the extension.

**Lemma 2.2.** *Let  $I = (k, p, \mathcal{N})$  be an instance of KKR B where all  $k$  supernets in  $\mathcal{N}$  terminate on the right boundary. Then, for each two different supernets  $A, B \in \mathcal{N}$ , there exists an extension  $I' = (k, p+4, \mathcal{N})$  of  $I$  such that:*

1. *all supernets in  $I'$  terminate on the right boundary,*
2. *there exist a routing  $R'$  for  $I'$  if and only if there exists a routing  $R$  for  $I$  with  $tr_R(B) > tr_R(A)$ .*
3. *If there exists a routing  $R'$  for  $I'$ , then  $R'$  has the following properties:*

- (a)  $tr_{R'}(B) < tr_{R'}(A)$
- (b)  $tr_{R'}(A) \leq tr_{R'}^{p^+}(B)$
- (c)  $tr_{R'}(B) \geq tr_{R'}^{p^+}(A)$ .

4. If in some routing  $R'$  for  $I'$ ,  $tr_{R'}(A) = tr_{R'}^{p^+}(B)$  and  $tr_{R'}(B) = tr_{R'}^{p^+}(A)$  then for each supernet  $N \in \mathcal{N} - \{A, B, \}$  it holds that  $tr_{R'}(N) = tr_{R'}^{p^+}(N)$ .

*Proof.* Let  $\mathcal{N}$  of  $I'$  be such that the supernets in  $\mathcal{N} - \{B, A\}$  are not modified. The supernets  $B$  and  $A$  are modified as shown in Figure 6b: The right boundary terminal net  $(a_1, a_2, r)$  in  $B$  is replaced by a net  $\eta_B = (a_1, a_2, b_{p+1})$ . Furthermore we add a 3-terminal net  $\eta'_B = (b_{p+2}, t_{p+3}, r)$  to  $B$ . The right boundary terminal net  $(a_1, a_2, r)$  in  $A$  is replaced by a net  $\eta_A = (a_1, a_2, t_{p+1})$ . Furthermore we add a 3-terminal net  $\eta'_A = (t_{p+2}, b_{p+3}, r)$  to  $A$ . By construction, Condition 1 holds. Note that with respect to the extension of Lemma 2.1, we simply exchanged the nets  $\eta'_B$  and  $\eta'_A$ . Simply by exchanging  $\eta'_B$  with  $\eta'_A$  in the proof of Lemma 2.1, Conditions 2 and 3 can be shown. This is also true for Proposition 4 as long as the nets in  $\mathcal{N} - \{A, B\}$  are concerned. Note that in this case,  $A$  and  $B$  exchange their tracks within the extension which follows easily from the previous conditions on any routing  $R'$  for  $I'$ . ■

**Remark 2.4.** From Conditions 3 and 4 it follows that if  $tr_R(B) = tr_R(A) + 1$  in any routing  $R$  for  $I$ , then  $I'$  is  $\mathcal{N} - \{A, B\}$ -safe for  $I$ .

As a consequence of Lemma 2.1, we can enforce a particular order of supernets on the right boundary.

**Lemma 2.3.** For each  $k$ , there is an instance  $I = (k, p, \mathcal{N})$  of KKRB such that:

1. all  $k$  supernets terminate on the right boundary, and
2. In every routing for  $I$ , supernet  $N_i$  terminates on track  $i$  on the right boundary.

*Proof:* Define an instance  $I_0 = (k, k + 1, \mathcal{N})$  of KKRB where  $N_i = \{(t_i, r)\}$ . Any order of the supernets on the right boundary can be routed in this instance. Now we extend  $I_0$  several times using the extension of Lemma 2.1. We will use  $k - 1$  extension steps, where the  $i$ th step extends  $I_{i-1}$  to  $I_i$  ( $i = 1, \dots, k - 1$ ). In the  $i$ th step, we enforce that  $N_{k-i+1}$  is below (higher track-index)  $N_{k-i}$  on the right boundary in any routing. For convenience, we divide the grid of  $I_{k-1}$  into  $k$  regions called  $G_0$  and  $G_2, \dots, G_k$  (see Figure 7).  $G_0$  is the grid defined by  $I_0$ , and  $G_i$  is the portion that was added by the  $(k + 1 - i)$ -th extension<sup>1</sup>. We show that in  $I_{k-1}$  (the last extension in our construction),  $N_i$  is at track  $i$  in the first

<sup>1</sup> $G_i$  spans the columns  $(6k + 1 - 5i)^{\rightarrow}$  to  $(6k - 5(i - 1))^{\rightarrow}$  for  $i = 2, \dots, k$ .

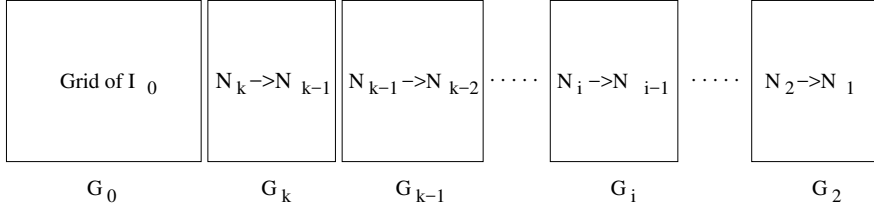


Figure 7: An instance  $I_{k-1}$  of KKR B where  $N_i$  terminates on track  $i$  in any routing. This is done with the extensions of Lemma 2.1. We divide the grid of  $I_{k-1}$  into  $k$  regions.

column of  $G_i$ .

We show by induction on  $i$  that the supernet  $N_i$  is on a track with index  $i$  or higher in the first column of  $G_i$ . This serves as the induction hypothesis. Clearly,  $N_1$  cannot be on a track with a lower index than 1 which proves the induction basis. Suppose that for some  $i \in \{2, \dots, k\}$ ,  $N_i$  is on some track  $t_0 < i$  in the first column of  $G_i$  (and therefore in the last column of  $G_{i-1}$ ). It follows by Lemma 2.1 that in the last column of  $G_i$ ,  $N_{i-1}$  is on a track  $t_1 < t_0 \leq i - 1$ . Hence,  $N_{i-1}$  is on a track  $t_1 < i - 1$  in the first column of  $G_{i-1}$ , which is a contradiction.

We show by induction on the extensions and by the properties given in Lemma 2.1 that for  $i = 2, \dots, k$ , in any routing,  $N_i$  is on a track with an index lower than or equal to  $i$  in the first column of  $G_i$ . Clearly,  $N_k$  cannot be on a track with index larger than  $k$  in the first column of  $G_k$  which is our induction basis. Note that the basis is at  $k$  and we conclude from  $i$  to  $i + 1$  in the induction step. Suppose the  $N_i$  is on a track  $t_0 > i$  in the first column of  $G_i$ . In the last column of  $G_{i+1}$ ,  $N_i$  is on track  $t_0$ . It follows from Lemma 2.1 that  $N_{i+1}$  is on a track  $t_1 > t_0 \geq i + 1$  in the first column of  $G_{i+1}$  which is a contradiction.

Now, consider a region  $G_i$  with  $i \in \{2, \dots, k - 1\}$ . In the first column of this region,  $N_i$  is routed at track  $i$  and in the first column of  $G_{i+1}$ ,  $N_{i+1}$  is routed at track  $i + 1$ . By Lemma 2.1,  $G_{i+1}$  is also routed at track  $i + 1$  in the first column of  $G_i$ . By Remark 2.3, the track of  $N$  in the first column of  $G_i$  is the same as the track of  $N$  in the last column of  $G_i$  for all nets  $N \in \mathcal{N}$ . It follows that  $N_i$  is on track  $i$  on the right boundary of  $I$ . ■

We will need another type of extension. In Lemma 2.3, we used the extension of Lemma 2.1 to enforce a particular order on the right boundary of the channel. But Lemma 2.1 cannot be used to enforce a supernet to be above another one without an influence on the other supernets in the channel. We use a trick to get a similar result. For each net  $N_i$ , introduce another net  $\bar{N}_i$ , which we will call the *shadownet* of  $N_i$ . Typically,  $\bar{N}_i$  will be on

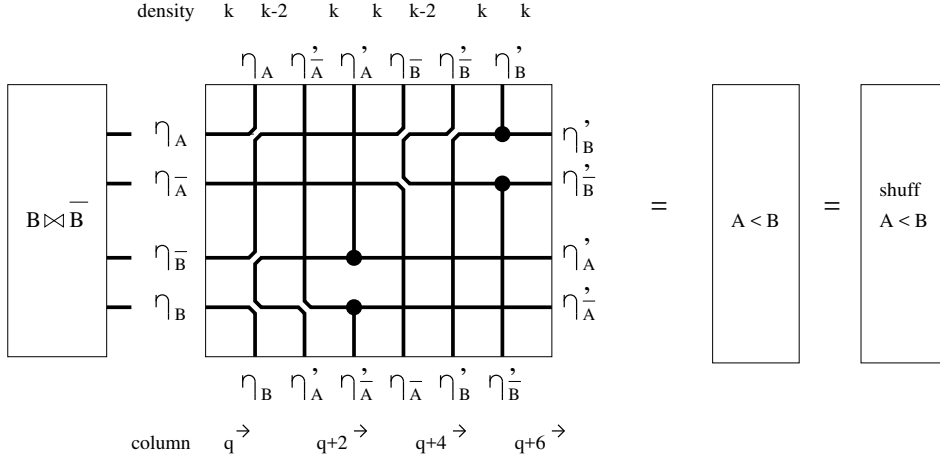


Figure 8: The shuffle-check extension and a possible routing.

a neighboring track of  $N_i$ . We say that a supernet  $\bar{N}$  is a shadownet of  $N$  in an instance  $I$  of KKR $B$ , if in every routing  $R$  for  $I$ , it holds that  $tr_R(\bar{N}) = tr_R(N) + 1$ .

We say that an instance  $I$  of KKR $B$  is  $(A, B)$ -mutable for two supernets  $A, B$ , if for any routing  $R$  for  $I$  there is a routing  $R'$  for  $I$ , such that  $A$  and  $B$  change their tracks with respect to  $R$  on the right boundary. More formally, an instance  $I = (k, p, \mathcal{N})$  of KKR $B$  is  $(A, B)$ -mutable for two supernets  $A, B \in \mathcal{N}$ , if the following holds:

- If  $R$  is a routing for  $I$ , then there exists a routing  $R'$  for  $I$  such that  $tr_{R'}(A) = tr_R(B)$  and  $tr_{R'}(B) = tr_R(A)$ .

**Lemma 2.4.** *Let  $I = (k, p, \mathcal{N})$  be an instance of KKR $B$  where all  $k$  supernets in  $\mathcal{N}$  terminate on the right boundary. Then, for each four different nets  $A, \bar{A}, B, \bar{B} \in \mathcal{N}$  where  $\bar{A}$  is a shadownet of  $A$  and  $\bar{B}$  is a shadownet of  $B$  in  $I$ , there exists an extension  $I' = (k, p + 11, \mathcal{N})$  of  $I$  such that:*

1. all supernets in  $I'$  terminate on the right boundary,
2. a routing exists for  $I'$  if and only if a routing  $R$  exists for  $I$  with  $tr_R(A) < tr_R(B)$ ,
3.  $I'$  is  $(A, B)$ -mutable,
4.  $I'$  is  $\mathcal{N} - \{A, \bar{A}, B, \bar{B}\}$ -safe for  $I$ , and
5.  $\bar{A}$  is a shadownet of  $A$  in  $I'$  and  $\bar{B}$  is a shadownet of  $B$  in  $I'$ .

*Proof.* The supernets  $A, \bar{A}, B$  and  $\bar{B}$  are extended as shown in Figure 8. The nets  $\eta_A$  and

$\eta'_A$  belong to supernet  $A$ , the nets  $\eta_B$  and  $\eta'_B$  belong to supernet  $B$ , the nets  $\eta_{\bar{A}}$  and  $\eta'_{\bar{A}}$  belong to supernet  $\bar{A}$ , and the nets  $\eta_{\bar{B}}$ ,  $\eta'_{\bar{B}}$  belong to supernet  $\bar{B}$ . Other supernets are not altered. Condition 1 is true by construction. First we extend  $I$  by exchanging the tracks of  $B$  and  $\bar{B}$ . Since these nets are shadownets, this step is  $\mathcal{N} - \{B, \bar{B}\}$ -safe for  $I$  and the right boundary is at vertical line  $q = p + 4$  after this extension. Clearly, the relative order of  $A$  and  $B$  does not change by this extension.

In order to simplify the notation, we will introduce the following abbreviations: For a routing  $R'$  of  $I'$  and a supernet  $N \in \{A, B, \bar{A}, \bar{B}\}$  we write  $t_N$  for  $tr_{R'}^{q \rightarrow}(N)$  and  $t'_N$  for  $tr_{R'}(N)$ . Note that in this notational convention,  $t_N$  corresponds to the track of  $\eta_N$  at column  $q \rightarrow$  and  $t'_N$  corresponds to the track of  $\eta'_N$  at column  $(q + 6) \rightarrow$ . First, consider the columns  $(q + 3) \rightarrow, \dots, (q + 6) \rightarrow$ . This part of the channel is very similar to the extension of Lemma 2.1. We can show that

- $t'_{\bar{B}} > t'_B$ ,
- there is no routing  $R'$  such that  $tr_{R'}^{(q+3) \rightarrow}(\bar{B}) > tr_{R'}^{(q+3) \rightarrow}(\bar{A})$ , and
- if in any routing  $R'$ ,  $tr_{R'}^{(q+3) \rightarrow}(\bar{A}) = tr_{R'}^{(q+3) \rightarrow}(\bar{B}) + 1$ , then no net except  $\eta_{\bar{A}}$ ,  $\eta'_B$  and  $\eta'_{\bar{B}}$  changes its track in these columns.

This can be easily shown by replacing the names of the respective nets in the proof of Lemma 2.1.

Now consider the columns  $q \rightarrow, \dots, (q + 3) \rightarrow$ . This is also a similar channel-portion to the one in Lemma 2.1. So,  $t_B > t_A$  in any routing for  $I'$ . There exists a routing under this condition, as shown in Figure 8. This shows Condition 2. No net can change its track at vertical line  $q + 3$  by Observation 2.1a. Hence, in any routing  $\eta_{\bar{B}}$  is on a track above  $\eta_{\bar{A}}$  at column  $(q + 2) \rightarrow$ . Furthermore, by Observation 2.2, one of the nets of  $\eta'_{\bar{A}}$  and  $\eta'_A$  makes a detour to the left at vertical line  $q + 2$ , and this detour uses exactly two horizontal grid-edges at column  $(q + 1) \rightarrow$ . We denote the upper horizontal grid-edge with  $t_u$  and the lower one with  $t_l$ . Because of the full density at column  $q \rightarrow$ , this detour uses the vertical grid-edges between  $t_u$  and  $t_l$ . By Observation 2.2b, neither  $\eta_A$  nor  $\eta_B$  makes a detour at vertical line  $q + 1$ . Hence,  $t_A \leq t_u < t_l \leq t_B$  holds for any routing.

**Claim 2.1.** *In any routing for  $I'$ , it holds that  $t_u \in \{t_A, t_{\bar{B}}\}$  and  $t_l \in \{t_B, t_{\bar{A}}\}$ .*

*Proof (Claim 2.1):* Suppose that  $t_{\bar{A}} \leq t_u < t_{\bar{B}}$ . Since the vertical grid-edges between  $t_u$  and  $t_l$  are used by the detour,  $\eta_{\bar{A}}$  is on a track above  $t_u$  and  $\eta_{\bar{B}}$  is on a track below  $t_l$  at column  $(q + 1) \rightarrow$ . Furthermore,  $\eta'_{\bar{A}}$  uses the vertical grid-edges above  $t_u$  at vertical line

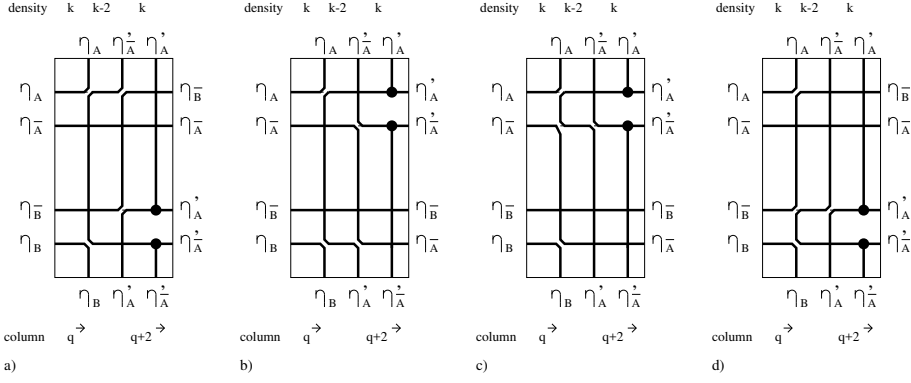


Figure 9: Four possible routings in columns  $q^-$ ,  $\dots$ ,  $(q+2)^-$  of the shuffle-check extension. We distinct three cases depending on the tracks that the detour uses at column  $(q+1)^-$ . a) Tracks  $t_A$  and  $t_B$  are used and  $\eta'_{barA}$  makes the detour. b) The same tracks as in (a) are used and  $\eta'_A$  makes the detour. c) Tracks  $t_A$  and  $t_{barA}$  are used. d) Tracks  $t_B$  and  $t_{barB}$  are used.

$q+2$  (no more detour is possible for  $\eta'_A$ ). Hence,  $\eta_{barA}$  is above  $\eta_B$  in column  $(q+2)^-$  which leads to a contradiction since there is no routing for this case. Hence, only the tracks  $t_A$  and  $t_B$  remain for  $t_u$ . A similar argument shows that  $t_l \in \{t_B, t_A\}$ . ■

By Claim 2.1, there are three cases to consider (by definition  $t_u < t_l$ ):

**Case 1:**  $t_u = t_A$  and  $t_l = t_B$ . Suppose that  $\eta'_A$  makes the detour at vertical line  $q+2$  (see Figure 9a). No net of  $\eta_{barA}$  and  $\eta_{barB}$  changes its track at vertical line  $q+1$ . Since at vertical line  $q+2$ ,  $\eta_{barA}$  cannot change to a track below  $\eta_B$ ,  $\eta_{barB}$  changes to track  $t_A$  in order to be above  $\eta_{barA}$  at column  $(q+2)^-$ . Since  $\eta'_A$  is routed above  $\eta_{barA}$  at columns  $(q+2)^-$ ,  $(q+3)^-$ , and the only possible horizontal grid-edge in this column above  $\eta_{barA}$  is at track  $t_B$ ,  $\eta'_A$  is routed on track  $t_B$  at columns  $(q+2)^-$ ,  $(q+3)^-$ . Hence, at vertical line  $q+3$ ,  $\eta'_A$  is on track  $t_B$  and  $\eta_{barA}$  is on track  $t_B$ . Furthermore, at this column,  $\eta_{barB}$  is on track  $t_A$  and  $\eta_{barA}$  is on track  $t_A$ . It follows that in this case,  $t'_B = t_A$ ,  $t'_B = t_A$ ,  $t'_A = t_B$  and  $t'_A = t_B$  and the extension is safe for all nets without a terminal in the extended area.

Suppose that  $\eta'_{barA}$  makes the detour at vertical line  $q+2$  (see Figure 9b). By similar arguments, one can prove the tracks of the nets at column  $(q+3)^-$  as shown in Figure 9b. It follows that in this case,  $t'_B = t_B$ ,  $t'_B = t_B$ ,  $t'_A = t_A$  and  $t'_A = t_A$  and the extension is safe for all nets without a terminal in the extended area.

**Case 2:**  $t_u = t_A$  and  $t_l = t_{\bar{B}}$ .  $\eta'_A$  uses all vertical grid-edges below  $t'_A$  and hence no net can change its track at vertical line  $q + 2$  (see Figure 9c).  $\eta_{\bar{B}}$  cannot change to a track above  $\eta_{\bar{A}}$  at vertical line  $q + 1$ . Hence  $\eta_{\bar{A}}$  changes to track  $t_B$  at  $q + 1$ . Hence, at vertical line  $q + 3$ ,  $\eta'_A$  is on track  $t_{\bar{B}}$  and  $\eta'_{\bar{A}}$  is on track  $t_B$ . Furthermore, at this column,  $\eta_{\bar{B}}$  is on track  $t_A$  and  $\eta_{\bar{A}}$  is on track  $t_{\bar{A}}$ . It follows that in this case,  $t'_B = t_A$ ,  $t'_{\bar{B}} = t_{\bar{A}}$ ,  $t'_A = t_{\bar{B}}$  and  $t'_{\bar{A}} = t_B$  and the extension is safe for all nets without a terminal in the extended area.

**Case 3:**  $t_u = t_{\bar{A}}$  and  $t_l = t_B$ .  $\eta'_{\bar{A}}$  uses all vertical grid-edges above  $t'_B$  and hence no net can change its track at vertical line  $q + 2$  (see Figure 9d).  $\eta_{\bar{A}}$  cannot change to a track below  $\eta_{\bar{B}}$  at vertical line  $q + 1$ . Hence  $\eta_{\bar{B}}$  changes to track  $t_A$  at  $q + 1$ . Hence, the supernets are on tracks given at Figure 9d. It follows that in this case,  $t'_B = t_{\bar{B}}$ ,  $t'_{\bar{B}} = t_B$ ,  $t'_A = t_A$  and  $t'_{\bar{A}} = t_{\bar{A}}$  and the extension is safe for all nets without a terminal in the extended area.

■

We call this extension a shuffle-check extension. We use this extension in two different ways. We can use Condition 2 of the lemma to enforce that  $A$  is routed above  $B$  in column  $p^{\leftarrow}$  of  $I'$ . In this case we need to take care of Condition 3 since as a side-effect of the extension, supernets  $A$  and  $B$  together with their shadownets may change their tracks. In this case we denote a shuffle-check extension for supernets  $A$  and  $B$  with  $A < B$ .

On the other hand, we can use Condition 3 to make two routings for  $A$  and  $B$  possible. Namely that  $A$  and  $B$  stay on their track or that  $A$  exchanges its track with  $B$ . In this case we need to take care that there is a routing such that  $A$  is routed above  $B$  in column  $p^{\leftarrow}$ . In this case we indicate the aim of the extension by labeling the symbol for the check-shuffle extension with “shuff” as shown in Figure 8.

Note that in any of the extensions introduced, nets with a right boundary are at most 3-terminal nets. Furthermore, if we introduce a last terminal in a net in an extension, no other terminal is introduced for that net in this extension. Hence, by starting with a net of the type described in Lemma 2.3 and extending this net with one of the extensions introduced in this Section, at most 3-terminal nets are used in the resulting instance.

### 3 The Main Theorem

We show that KKR B is NP-complete by reducing a known NP-complete problem to it. This result will be used to prove the complexity of knock-knee channel routing with 3-terminal nets.

**Theorem 3.1.** *KKRB is NP-complete.*

*Proof:* A nondeterministic algorithm can guess a routing and check if it is valid. If yes, output “yes”, otherwise output “no”. This can be done in polynomial time with the number of tracks and columns. So, the problem is in NP. To prove the completeness for NP, we reduce the *exactly-one-in-three* 3SAT problem to KKR B. Let a set  $\mathcal{C} = \{C_1, C_2, \dots, C_m\}$  of clauses, each of size 3 over a set  $\Sigma = \{v_1, v_2, \dots, v_n\}$  of variables, be an instance of exactly-one-in-three 3SAT. Without loss of generality, we can assume that no clause contains a negated literal (this restriction is known to be NP-complete (Garey et al., 1979)). The exactly-one-in-three 3SAT problem asks whether there is a truth assignment of the variables in  $\Sigma$  such that each clause in  $\mathcal{C}$  contains exactly one true literal.

The idea of the proof is as follows. We begin by constructing an instance of KKR B. We divide the tracks of the channel into five consecutive groups  $G_1, \dots, G_5$ . Tracks in  $G_i$  are above tracks in  $G_{i+1}$  for  $i \in \{1, \dots, 4\}$ . For each clause  $C_l = \{v_h, v_i, v_j\}$ , we introduce three supernets  $V_h^l, V_i^l$ , and  $V_j^l$  that terminate on tracks in group  $G_2$  on the right boundary in every routing. Furthermore, for each variable  $v_i$  we introduce two supernets  $H_i$  and  $L_i$  that terminate on tracks in group  $G_3$  on the right boundary in every routing. Then we extend our instance and enforce that for each variable  $v_i$ , either  $H_i$  changes to a track in group  $G_1$  or  $L_i$  changes to a track in  $G_5$ . This will give us a truth assignment for the variables. Furthermore, we force all supernets of the form  $V_i^l$  to change to a track of group  $G_4$  if and only if for the corresponding variable  $v_i$  the supernet  $L_i$  is on a track in group  $G_5$ . In addition we require that exactly one of the three supernets  $V_h^l, V_i^l$ , and  $V_j^l$  for a clause  $C_l$  will change to a track in group  $G_4$ . Thus, there will be a routing if and only if there exists a truth assignment for the variables satisfying  $\mathcal{C}$ .

We formalize these ideas. Let  $k = 8n + 10m$  be the number of tracks. Recall that  $n$  is the number of variables and  $m$  is the number of clauses in the reduced problem. We divide the channel into the five groups  $G_1 = \{\text{track } i \mid i \in \{1, \dots, 2n\}\}$ ,  $G_2 = \{\text{track } i \mid i \in \{2n + 1, \dots, 2n + 6m\}\}$ ,  $G_3 = \{\text{track } i \mid i \in \{2n + 6m + 1, \dots, 6n + 6m\}\}$ ,  $G_4 = \{\text{track } i \mid i \in \{6n + 6m + 1, \dots, 6n + 10m\}\}$ , and  $G_5 = \{\text{track } i \mid i \in \{6n + 10m + 1, \dots, 8n + 10m\}\}$ . We construct an instance  $I_0 = (k, p_0, \mathcal{N})$  of KKR B that sorts the supernets based on Lemma 2.3 as follows:



1. For each variable  $v_i, i \in \{1, \dots, n\}$ , there is a set  $\mathcal{V}_i$  consisting of 8 supernets  $\mathcal{V}_i = \{A_i, \bar{A}_i, B_i, \bar{B}_i, L_i, \bar{L}_i, H_i, \bar{H}_i\}$
2. For each clause  $\mathcal{C}_l = \{v_h, v_i, v_j\}, l \in \{1, \dots, m\}$ , there is a set  $\mathcal{C}_l$  of 10 supernets  $\mathcal{C}_l = \{V_h^l, \bar{V}_h^l, V_i^l, \bar{V}_i^l, V_j^l, \bar{V}_j^l, X_l, \bar{X}_l, Y_l, \bar{Y}_l\}$

Now we set  $\mathcal{N} = \bigcup_{i \in \{1, \dots, n\}} \mathcal{V}_i \cup \bigcup_{l \in \{1, \dots, m\}} \mathcal{C}_l$ .  $I_0 = (k, p_0, \mathcal{N})$  is constructed such that there exists a routing for  $I_0$  if and only if the supernets in  $\mathcal{N}$  terminate with a net on the right boundary in the following ways:

1. For each variable  $v_i, i \in \{1, \dots, n\}$ , the terminals on the right boundary for the supernets are as follows:
  - (a)  $A_i, \bar{A}_i$  are in this order on neighboring tracks in  $G_1$  (more precisely, they are on tracks  $2i - 1$  and  $2i$ ).
  - (b)  $L_i, \bar{L}_i, H_i, \bar{H}_i$  are in this order on neighboring tracks in  $G_3$  (more precisely, they are on tracks  $2n + 6m + 4i - 3, 2n + 6m + 4i - 2, 2n + 6m + 4i - 1$  and  $2n + 6m + 4i$ ).
  - (c)  $B_i, \bar{B}_i$  are in this order on neighboring tracks in  $G_5$  (more precisely, they are on tracks  $6n + 10m + 2i - 1$  and  $6n + 10m + 2i$ ).
2. For each Clause  $\mathcal{C}_l = \{v_h, v_i, v_j\}, l \in \{1, \dots, m\}, h < i < j$ , the terminals on the right boundary for the supernets are as follows:
  - (a)  $V_h^l, \bar{V}_h^l, V_i^l, \bar{V}_i^l, V_j^l, \bar{V}_j^l$  are in this order on neighboring tracks in  $G_2$  (more precisely, they are on tracks  $2n + 6l - 5, 2n + 6l - 4, 2n + 6l - 3, 2n + 6l - 2, 2n + 6l - 1$  and  $2n + 6l$ )
  - (b)  $X_l, \bar{X}_l, Y_l, \bar{Y}_l$  are in this order on neighboring tracks in  $G_4$  (more precisely, they are on tracks  $6n + 6m + 4l - 3, 6n + 6m + 4l - 2, 6n + 6m + 4l - 1$  and  $6n + 6m + 4l$ ).

We extend our instance  $I_0$  step by step, in such a manner that we can fix a truth assignment for the variables in  $\Sigma$ . One extension step is performed for each variable. Let  $I_i = (k, p_i, \mathcal{N})$  be the extended instance after the  $i$ th extension. The effect of the  $i$ th extension is that there is a routing for the extended instance  $I_i$  if and only if either supernet  $L_i$  and  $H_i$  terminate on tracks in  $G_1$  and  $G_3$  on the right boundary, or  $L_i$  and  $H_i$  terminate on a tracks in  $G_3$  and  $G_5$  on the right boundary. In the first case, we assign *false* to variable  $v_i$ . In the latter case, we assign *true* to variable  $v_i$ . The extension  $I_i$  consists of four sub-extensions  $I_{i,1}$  to  $I_{i,4}$  and is shown in Figure 10 (shadownets are not shown). For notational simplicity, we denote the portion of the channel added by extension  $I_{i,j}$  with  $D_{i,j}$  ( $i \in \{1, \dots, n\}, j \in \{1, \dots, 4\}$ ), as shown in Figure 10.

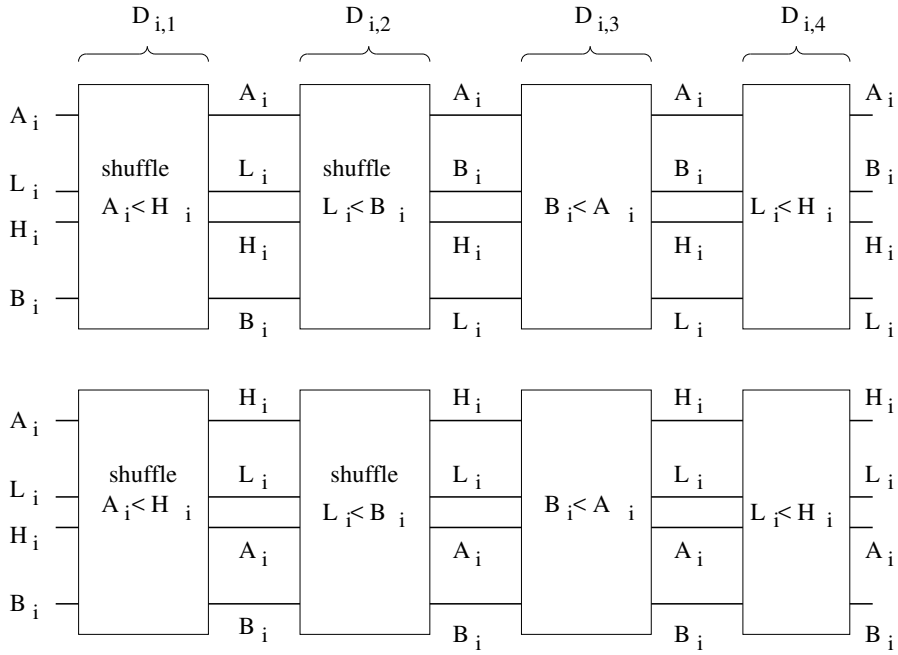


Figure 10: An extension that is used to produce a truth assignment for variable  $v_i$  and two possible routings (shadownets are not shown).

**Claim 3.1.** For all  $i \in \{1, \dots, n\}$  it holds that:

1. Extension  $I_i$  is  $(\mathcal{N} - \mathcal{V}_i)$ -safe for  $I_{i-1}$ .
2. All supernet of  $I_i$  terminate on the right boundary of  $I_i$ .
3.  $I_i$  is  $(L_i, H_i)$ -mutable and  $\bar{L}_i, \bar{H}_i$  are shadownets of  $L_i$  and  $H_i$  respectively.
4. In every routing for  $I_i$ , either
  - (a) exactly one supernet of  $\{L_i, H_i\}$  terminates on a track in  $G_1$  and exactly one of them terminates on a track in  $G_3$  on the right boundary, or
  - (b) exactly one supernet of  $\{L_i, H_i\}$  terminates on a track in  $G_3$  and exactly one of them terminates on a track in  $G_5$  on the right boundary.
5. For all cases in Condition 4, there exists such a routing.

*Proof(Claim 3.1):* Since any sub-extension  $I_{i,j}$  of  $I_i$  is  $\mathcal{N} - \mathcal{V}_i$ -safe for  $I_{i,j-1}$  respectively  $I_{i-1}$ ,  $I_i$  is  $\mathcal{N} - \mathcal{V}_i$ -safe for  $I_{i-1}$ . By construction, all supernet of  $I_i$  terminate with a 2- or

3-terminal net on the right boundary of  $I_i$ . By construction of  $D_{i,4}$ ,  $I_i$  is  $(L_i, H_i)$ -mutable and  $\bar{L}_i, \bar{H}_i$  are shadownets of  $L_i$  and  $H_i$  respectively.

By construction of  $I_{i-1}$ , the arrangements of the supernets in the last column of  $I_{i-1}$  is as given in Figure 10.  $A_i$  is on a track in  $G_1$ ,  $L_i$  is on a track above  $H_i$  in  $G_3$ , and  $B_i$  is on a track in  $G_5$ .

By Lemma 2.4,  $I_{i,1}$  is  $\mathcal{N} - \{A_i, \bar{A}_i, H_i, \bar{H}_i\}$ -safe for  $I_{i-1}$ , and we have to consider two types of routings: whether  $A_i$  changes its track with  $H_i$  within  $D_{i,1}$ . Suppose that  $A_i$  does not change its track with  $H_i$  within  $D_{i,1}$  (upper figure in Figure 10). Then, there exists no routing such that  $L_i$  stays on its track within  $D_{i,2}$  (otherwise  $L_i$  would be above  $H_i$  in the first column of  $G_{i,4}$  and hence there is no routing for  $I_i$  by Lemma 2.4). Hence  $L_i$  change its track with  $B_i$  within  $D_{i,3}$  and in this case,  $L_i$  and  $H_i$  are on tracks in  $G_3 \cup G_5$  in the first column of  $D_{i,4}$  and  $H_i$  is on a track above  $L_i$ . By definition of extension  $I_{i,4}$ , this holds for the right boundary of  $I_i$ .

Suppose that  $A_i$  changes its track with  $H_i$  within  $D_{i,1}$  (lower figure in Figure 10). Then, if  $B_i$  changes its track with  $L_i$  within  $D_{i,2}$ ,  $B_i$  is above  $A_i$  in the first column of  $D_{i,4}$ . It follows by Lemma 2.4, that there is no such routing for  $I_i$ . Hence,  $A_i$  does not change its track with  $L_i$  in the second extension, and  $L_i, H_i$  are on tracks of  $G_3 \cup G_5$  on the right boundary of  $I_i$ . ■

Now, we extend our instance  $I_n$  step by step in such a way that we can choose exactly one true variable in each clause. One extension step is performed for each clause. Let  $I_{n+l}$  be the instance after the  $l$ th extension step. The effect of the  $l$ th extension step will be that there is a routing for  $I_{n+l}$  if and only if exactly one of the three supernets  $V_h^l, V_i^l, V_j^l$  changes to a track in  $G_4$ . The extension  $I_{n+l}$  is given in Figure 11 (shadownets are not shown).  $I_{n+l}$  consists of four sub-extensions  $I_{n+l,1}, \dots, I_{n+l,4}$ , and we denote the portion of the channel added by extension  $I_{n+l,j}$  with  $D_{n+l,j}$  ( $l \in \{1, \dots, m\}, j \in \{1, \dots, 4\}$ ).

**Claim 3.2.** *For each clause  $C_l = \{v_h, v_i, v_j\} \in \mathcal{C}$ , the following holds:*

1. *Extension  $I_{n+l}$  is  $\mathcal{N} - \mathcal{C}_l$ -safe for  $I_{n+l-1}$ .*
2. *All supernets of  $I_{n+l}$  terminate on the right boundary of  $I_i$ .*
3. *In each routing for  $I_{n+l}$  exactly one of the three supernets  $V_h^l, V_i^l$ , or  $V_j^l$  terminates on a track in  $G_4$ , and the other two terminate on a track in  $G_2$  on the right boundary. Furthermore in  $I_{n+l}$ ,  $\bar{V}_h^l, \bar{V}_i^l$ , and  $\bar{V}_j^l$  are shadownets of  $V_h^l, V_i^l$  and  $V_j^l$  respectively.*

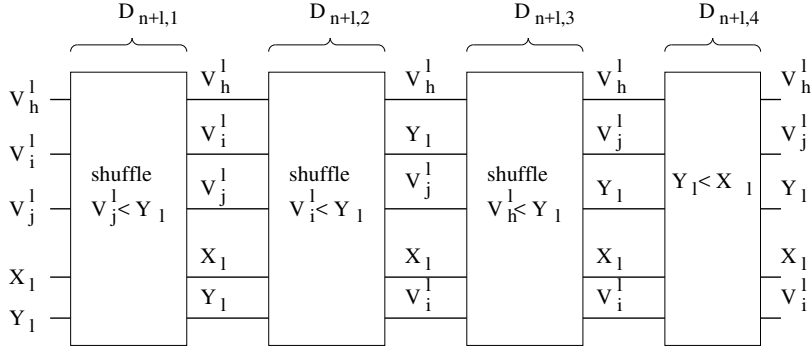


Figure 11: An extension that forces that exactly one supernet out of  $V_h^l$ ,  $V_i^l$ , and  $V_j^l$  to a track in  $G_4$ . The interpretation is, that this variable is true.

4. For all cases in Condition 4, there exists such a routing.

*Proof(Claim 3.2):* Since any sub-extension  $I_{n+l,j}$  of  $I_{n+l}$  is  $\{\mathcal{N} - \mathcal{C}_l\}$ -safe for  $I_{n+l,j-1}$  respectively  $I_{n+l-1}$ ,  $I_{n+l}$  is  $\mathcal{N} - \mathcal{V}_i$ -safe for  $I_{i-1}$ . By construction, all supernets of  $I_i$  terminate on the right boundary of  $I_i$  and shadownets terminate beside their respective supernets on the right boundary. By construction of  $I_{n+l-1}$ , the arrangements of the supernets in the last column of  $I_{n+l-1}$  is as given in Figure 11.  $V_h^l$ ,  $V_i^l$ , and  $V_j^l$  are on a track in  $G_2$ ,  $X_l$  and  $Y_l$  are in this order on tracks in  $G_4$ . We show that in any routing for  $I_{n+l}$ ,  $Y_l$  changes its track to a track in  $G_2$  within  $D_{n+l,1}$ ,  $D_{n+l,2}$ , or  $D_{n+l,3}$ . Suppose that  $Y_l$  does not change its track in one of these channel portions. Then,  $Y_l$  is below  $X_l$  in the first column of  $D_{n+l,4}$ . And by Lemma 2.4, there is no such routing for  $I_{n+l}$ .

Now, suppose that  $Y_l$  changes its track with  $V_h^l$  within  $D_{n+l,1}$ . Then,  $V_h^l$  is on a track in  $G_4$  and  $V_i^l$  and  $V_j^l$  are on tracks in  $G_2$  in the first column of  $D_{n+l,2}$ . By construction,  $V_h^l$  is on a track in  $G_4$  and  $V_i^l$  and  $V_j^l$  are on tracks in  $G_2$  on the right boundary of  $I_{n+l}$  in any such routing. Furthermore all the following shuffle-checks are routable and  $Y_l$  is above  $X_l$ . Hence, there is a routing in this case.

Suppose that  $Y_l$  does not changes its track with  $V_h^l$  within  $D_{n+l,1}$ . Then  $V_h^l$  is on a track in  $G_2$  on the right boundary of  $I_{n+l}$ , and either  $V_i^l$  or  $V_j^l$  is on a track in  $G_4$ . The proof that such routings exist is similar to the previous paragraph. ■

To finish our construction for Theorem 3.1, we extend the instance  $I_{n+m} = \{k, p, \mathcal{N}\}$  to the instance  $I = \{k, q, \mathcal{N}\}$  in the following way. For each variable  $v_i$  check if all supernets  $V_i^l$  of clauses  $C_l$  with  $v_i \in C_l$  are on tracks between  $H_i$  and  $V_i$ . We can do that by

```

FOR  $i = 1$  TO  $n$ 
  FOR all  $l$  with  $v_i \in C_l$ 
    check  $V_i^l < L_i$ 
    check  $H_i < V_i^l$ 
  END
  check  $H_i < L_i$ 
END

```

Algorithm 1: The algorithm to extend  $I_n + m$  to  $I$ . We check if all  $V_i^l$  of clauses  $C_l$  with variable  $v_i$  are routed between  $H_i$  and  $L_i$ .

successively applying the extension of Lemma 2.4 such that  $V_i^l < L_i$  and  $H_i < V_i^l$ . The exact way to do that is shown in Alogrithm 1.

**Claim 3.3.** *A routing  $R'$  for  $I$  exists if and only if a routing  $R$  for  $I_{n+m}$  exists such that for all  $i \in \{1, \dots, n\}$  and each  $l \in \{1, \dots, m\}$  with  $v_i \in C_l$ ,  $V_i^l$  is on a track between the tracks of  $H_i$  and  $L_i$  on the right boundary.*

*Proof(Claim 3.3):* We first show that there is a routing if the given condition is met. In this routing, for each  $i \in \{1, \dots, n\}$  there is some  $h_i \in \{1, 3\}$  such that  $H_i$  is on a track in  $G_{h_i}$ ,  $V_i^l$  is on a track in  $G_{h_i+1}$  for all  $l$  with  $v_i \in C_l$ , and  $L_i$  is on a track in  $G_{h_i+2}$ . This routing is such that in each of the checks  $V_i^l < L_i$  and  $H_i < V_i^l$  the supernets do not change their tracks. Hence there is a routing in any of these checks. There is also a routing for the last check,  $H_i < L_i$ .

Now we show that there is no routing if the condition is not met. Suppose that there is a routing  $R$  for  $I$  such that there exists some  $l \in \{1, \dots, m\}$  with  $v_i \in C_l$  and  $V_i^l$  is not between  $H_i$  and  $L_i$ . We consider the first such supernet that is checked in the channel (the leftmost check. This supernet has minimal superscript  $l$  for a given  $i$ ). Suppose that  $L_i, H_i$  are on tracks of  $G_1, G_3$  at column  $p^{\leftarrow}$ . Then, since  $V_i^l$  is the first net in the checking of  $v_i$  that is not between  $G_1$  and  $G_3$ ,  $L_i$  and  $H_i$  are on tracks of  $G_1 \cup G_2 \cup G_3$  and  $V_i^l$  is on a track in  $G_4$ . The following extension  $V_i^l < L_i$  demands that  $L_i$  routed below  $V_i^l$  which leads to a contradiction. Hence, such a routing does not exist.

Suppose that  $L_i, H_i$  are on tracks of  $G_3, G_5$  at column  $p^{\leftarrow}$ . Then,  $L_i, H_i$  are on tracks of  $G_3 \cup G_4 \cup G_5$  before the check and  $V_i^l$  is on a track of  $G_2$  (see Figure 12). If  $V_i^l$  does not change its track with  $L_i$  at the first check  $V_i^l < L_i$ , there is no routing for the second check

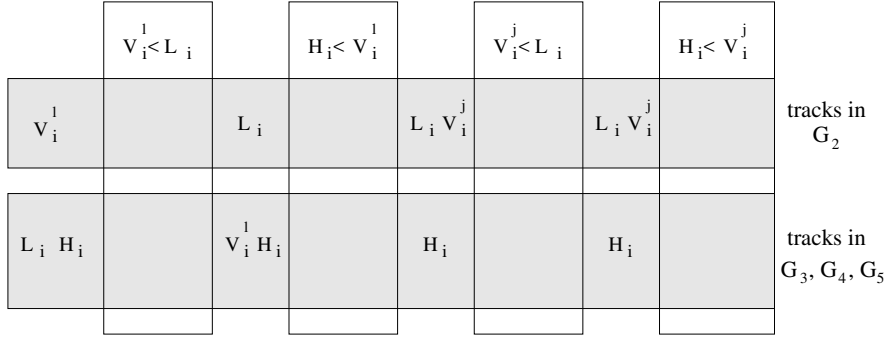


Figure 12: No routing exists if the truth-assignment of the global variable (done by  $L_i$  and  $H_i$ ) does not match the truth assignment of the variables in the clauses ( $V_i^l$ ). A single check is shown for the case that  $L_i, H_i$  are on tracks of  $G_3 \cup G_4 \cup G_5$  and  $V_i^l$  is on a track in  $G_2$ .

$H_i < V_i^l$ . Hence, after the second check,  $L_i$  is on a track of  $G_2$  and  $H_i$  is on a track of  $G_3 \cup G_4 \cup G_5$ . If  $C_l$  is the last clause that contains  $v_i$ , by Algorithm 1, a check  $H_i < L_i$  follows which leads to a contradiction since such a routing does not exist. Suppose that there is another clause  $C_j$  with  $v_i \in C_j$  that is checked right after the considered extension.  $V_i^j$  is routed on a track above  $L_i$  because of the check  $V_i^j < L_i$ . Hence,  $V_i^j$  is on a track in  $G_2$  after this check, but the following  $H_i < V_i^j$  demands that  $V_i^j$  is routed on a track below  $H_i$  which leads to a contradiction. Hence, such a routing does not exist. ■

It remains to show that there exists a routing for  $I$  if and only if there is a  $\mathcal{C}$ -satisfying truth assignment for the variables in  $\Sigma$  such that there is exactly one true literal in each clause.

Suppose that such a truth-assignment exists. Consider the tracks of  $H_i, L_i$  on the right boundary of  $I_{n+m}$ . By Claim 3.1 and 3.21 we can find a routing for  $L_i, H_i$  such that  $H_i$  is on a track in  $G_1$  and  $L_i$  is on a track in  $G_3$  for all  $i$  with  $v_i = false$  in the truth-assignment and furthermore  $H_i$  is on a track in  $G_3$  and  $L_i$  is on a track in  $G_5$  for all  $i$  with  $v_i = true$  in the truth-assignment. Since in each clause exactly one of the variables is true, by Claim 3.2 we can find a routing such that for all  $i \in \{1, \dots, n\}$  and all  $l$  with  $v_i \in C_l$   $V_i^l$  is at a track in  $G_2$  if  $v_i = false$  and at a track in  $G_4$  if  $v_i = true$  in the truth-assignment. By Claim 3.3 there is a routing for  $I$ .

Suppose that there exists a routing  $R$  for  $I = (k, p, \mathcal{N})$ . The routing remains valid for the portion of  $I_{n+m}$ . Denote this routing of  $I_{n+m}$  with  $R_{m+n}$ . By Claim 3.1 and 3.21,  $H_i$  and  $L_i$  are either at tracks of  $G_1 \cup G_3$  or at tracks of  $G_3 \cup G_5$  on the right boundary of  $I_{n+m}$  in  $R_{m+n}$ . In the first case set  $v_i = false$ , in the latter set  $v_i = true$ . Consider

this truth-assignment for variables in  $\Sigma$ . By Claim 3.3, for all  $l$  with  $v_i \in C_l$  the net  $V_i^l$  is between  $H_i$  and  $L_i$  on the right boundary of  $I_{n+m}$  in  $R_{m+n}$ . By Claim 3.2, for each  $l$ , exactly one supernet of  $V_h^l, V_i^l, V_j^l$  is on a track in  $G_4$  and the other supernets are on tracks in  $G_2$  on the right boundary of  $I_{n+m}$  in  $R_{m+n}$ . Hence, exactly one variable in each clause is true in this truth-assignment. ■

**Theorem 3.2.** *The general knock-knee channel-routing problem with 3-terminal nets is NP-complete.*

*Proof:* It is easy to reduce KKR with 3-terminal nets to the general knock-knee channel-routing problem. Let  $I' = (k, p, \mathcal{N})$  be an instance of KKR. We construct an instance  $I$  of the knock-knee channel-routing problem the following way: Replace the  $i$ -th net of the form  $(a_1, \dots, a_n, r)$  with the net  $(a_1, \dots, a_n, t_{p+i})$  (there are  $k$  such nets). Then, let instance  $I$  be the union of all the nets of the supernets in  $\mathcal{N}$ . Clearly, there is a routing for  $I$  if and only if there is a routing for  $I'$ . ■

## 4 Conclusions

The main result of this paper is that Knock-knee channel-routing is NP-complete even if at most 3-terminal nets are involved. Polynomial time algorithms are known for Knock-knee channel routing with 2-terminal nets. Hence, this paper gives a rather sharp boundary of intractability for channel-routing in the knock-knee mode.

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